

CERTIFICATION OF BENEFICIAL OWNER(S)

The information contained in this Certification is sought pursuant to Section 1020.230 of Title 31 of the United States Code of Federal Regulations (31 CFR 1020.230).

(Complete all 4 steps)

Step 1 All persons opening an account on behalf of a legal entity must provide the following information:

1. Last Name of Natural Person Opening Account		2. First Name and M.I.	3. Title
4. Name of Legal Entity for Which the Account is Being Opened		4a. Describe Product/Service (Type of Business Activity)	
4b. Legal Entity Address	4c. City, State, Zip	4d. EIN	

Step 2 OWNER(S) - SECTION I (To add additional individuals, see next page)

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise **owns 25% or more of the equity interests of the legal entity** listed above.

Check here if no individual meets this definition and complete Section II.

5. Last Name		6. First Name	7. M.I.	8. Date of Birth (MM/DD/YYYY)
9. Address		10. City	11. State	12. ZIP/Postal Code
13. Country	14. SSN (U.S. Persons)	15. For Non-U.S. persons (SSN, Passport Number or other similar ID #)		15a. Country of issuance:

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Step 3 CONTROL INDIVIDUAL - SECTION II

Please provide the following information for an individual with significant responsibility for managing or directing the entity, including, an executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or Any other individual who regularly performs similar functions.

16. Last Name		17. First Name and M.I.	18. Title	19. Date of Birth (MM/DD/YYYY)
20. Address		21. City	22. State	23. ZIP/Postal Code
24. Country	25. SSN (U.S. Persons)	26. For Non-U.S. persons (SSN, Passport Number or other similar ID #)		26a. Country of issuance:

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Step 4

I, _____ (name of person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____ Date: _____
(MM/DD/YYYY)

Recertification (for triggering events **only** where certification form requires no changes)

I, _____ (name of person recertifying), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____ Date: _____
(MM/DD/YYYY)

I, _____ (name of person recertifying), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____ Date: _____
(MM/DD/YYYY)

I, _____ (name of person recertifying), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____ Date: _____
(MM/DD/YYYY)

I, _____ (name of person recertifying), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____ Date: _____
(MM/DD/YYYY)

Additional Section 1 - Second Beneficial Owner *(If required)*

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise **owns 25% or more of the equity interests of the legal entity** listed above.

5. Last Name		6. First Name	7. M.I.	8. Date of Birth (MM/DD/YYYY)
9. Address		10. City	11. State	12. ZIP/Postal Code
13. Country	14. SSN (U.S. Persons)	15. For Non-U.S. persons (SSN, Passport Number or other similar identification number)		
		15a. Country of issuance:		

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Additional Section 1 - Third Beneficial Owner *(If required)*

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise **owns 25% or more of the equity interests of the legal entity** listed above.

5. Last Name		6. First Name	7. M.I.	8. Date of Birth (MM/DD/YYYY)
9. Address		10. City	11. State	12. ZIP/Postal Code
13. Country	14. SSN (U.S. Persons)	15. For Non-U.S. persons (SSN, Passport Number or other similar identification number)		
		15a. Country of issuance:		

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Additional Section 1 - Fourth Beneficial Owner *(If required)*

Please provide the following information for an individual(s), if any, who, directly or indirectly, through any contract arrangement, understanding, relationship, or otherwise **owns 25% or more of the equity interests of the legal entity** listed above.

5. Last Name		6. First Name	7. M.I.	8. Date of Birth (MM/DD/YYYY)
9. Address		10. City	11. State	12. ZIP/Postal Code
13. Country	14. SSN (U.S. Persons)	15. For Non-U.S. persons (SSN, Passport Number or other similar identification number)		
		15a. Country of issuance:		

Note: In lieu of a passport number, Non-U.S. Persons may also provide a Social Security Number, an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.